

Ignorance and anti-negativity in the grammar:
or/some NP_{SG}
and
comparative-/superlative-modified (CMNs/SMNs) numerals

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NELS 50 @ MIT

ignorance

polarity sensitivity

or/some NP_{SG}

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polarity sensitivity
CMNs/SMNs

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CMNs/SMNs

shockingly similar!

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Why?

ignorance
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or/some NP_{SG}

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polarity sensitivity
CMNs/SMNs

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Why?

A UNIFIED APPROACH.*

*Using alternatives and exhaustification.

Ignorance and polarity sensitivity: *or/some NP_{SG}*

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(1) Jo called Alice or Bob / some student_{Alice,Bob}.

(truth conditions: ||)

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(2) (Who did Jo call?) Jo called Alice or Bob / some student.

(ignorance: ||)

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- (4) Jo called # Alice, Bob, or Cindy / ✓some student, but not Alice. (neg certainty: //)

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		compatibility with certainty	
		no	yes
anti-negativity	no	<i>or</i>	
	yes	<i>some NP_{SG}</i>	

Ignorance and polarity sensitivity: CMNs/SMNs

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Ignorance and polarity sensitivity: CMNs/SMNs

- (8) Jo called less than 2 people / at most 1 person. (truth conditions: ||)
- (9) (How many did Jo call?) Jo called less than 2 people / at most 1 person. (ignorance: ||)
- (10) Jo called 2 people. Therefore, she called ✓less than 3 / # at most 2. (pos certainty: //)
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		compatibility with certainty	
		no	yes
anti-negativity	no		CMNs
	yes	SMNs	

Existing literature

- ★ ignorance in *or*: [Sauerland, 2004, Meyer, 2013]; total vs. partial ignorance in indefinites: [Alonso-Ovalle and Menéndez-Benito, 2010, Chierchia, 2013, Fălăuș, 2014]
- ★ anti-negativity in *some*: [Szabolcsi, 2004, Nicolae, 2012]
- ★ ignorance and anti-negativity in French disjunctions *soit . . . soit/ou*: [Spector, 2014, Nicolae, 2017] An item like *or* that cannot prune its DA-set only has this option.
- ★ experimental evidence that both CMNs and SMNs can give rise to ignorance: [Westera and Brasoveanu, 2014, Cremers et al., 2017, Nouwen et al., 2018]
- ★ experimental evidence that CMNs are compatible with positive certainty but SMNs are not [Geurts and Nouwen, 2007, Geurts et al., 2010, Cummins and Katsos, 2010, Nouwen et al., 2018]
- ★ theoretical discussions of ignorance in CMNs and SMNs: [Geurts and Nouwen, 2007, Büring, 2008, Nouwen, 2010, Geurts et al., 2010, Cummins and Katsos, 2010, Coppock and Brochhagen, 2013, Westera and Brasoveanu, 2014, Nouwen, 2015, Kennedy, 2015, Spector, 2015, Mendia, 2015, Schwarz, 2016, Cremers et al., 2017]
- ★ experimental evidence of *not-if-every* patterns for CMNs and SMNs: [Mihoc and Davidson, 2017]
- ★ theoretical discussions of anti-negativity in SMNs: [Nilsen, 2007, Geurts and Nouwen, 2007, Cohen and Krifka, 2014, Spector, 2015]
- ★ the empirical similarity between SMNs and disjunction with respect to ignorance: [Büring, 2008, Kennedy, 2015]
- ★ the empirical similarity between SMNs and some French disjunctions w.r.t. both ignorance and polarity sensitivity [Spector, 2014, Spector, 2015]

Existing literature

disjunction

epistemic indefinites

polarity sensitive items

modified numerals

Today's talk

ignorance and polarity sensitivity

compatibility with certainty

no

yes

anti-negativity

no

or

CMNs

yes

SMNs

some NP_{SG}

Outline

or/some NP_{SG}

Capturing ignorance

Capturing polarity sensitivity

CMNs/SMNs

Capturing ignorance

Capturing polarity sensitivity

Conclusion and outlook

Goal and plan

Goals:

- ★ Figure out an account for ignorance and polarity sensitivity in *or/some* NP_{SG} .
- ★ Identify the shape of a general theory of ignorance and polarity sensitivity.

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There are many approaches to ignorance and polarity sensitivity.

The only *unified* approaches use alternatives and exhaustification.

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The only approach with explicit concern for variation: [Chierchia, 2013].

Plan:

- ★ We will use [Chierchia, 2013] for reference throughout.

Assumptions: Truth conditions

Contain reference to both a domain and a scalar element.

(15) Jo called a , b or ...

a. $\exists x \in \{a, b, \dots\}[C(j, x)]$ (assertion)

(16) Jo called some student.

a. $\exists x \in \llbracket \text{student} \rrbracket [C(j, x)]$ (assertion)

★ If the domains coincide, this captures (truth conditions: ||).

Assumptions: Alternatives

Generated by replacing the domain with its subsets and the scalar element with its scalemates.

(17) Jo called a , b or ...

- a. $\exists x \in \{a, b, \dots\}[C(j, x)]$ (assertion)
- b. $\{\exists x \in D'[C(j, x)] \mid D' \subset \{a, b, \dots\}\}$ (DA)
- c. $\{\forall x \in \{a, b, \dots\}[C(j, x)]\}$ (σ A)
- d. $\{\forall x \in D'[C(j, x)] \mid D' \subset \{a, b, \dots\}\}$ ($D\sigma$ A)

(18) Jo called some student.

- a. $\exists x \in \llbracket \text{student} \rrbracket [C(j, x)]$ (assertion)
- b. $\{\exists x \in D'[C(j, x)] \mid D' \subset \llbracket \text{student} \rrbracket\}$ (DA)
- c. $\{\forall x \in \llbracket \text{student} \rrbracket [C(j, x)]\}$ (σ A)
- d. $\{\forall x \in D'[C(j, x)] \mid D' \subset \llbracket \text{student} \rrbracket\}$ ($D\sigma$ A)

Assumptions: Exhaustification

A silent exhaustivity operator O negates the non-entailed pre-exhaustified subdomain alternatives and scalar alternatives.

$$(19) \llbracket O_{C(p)} \rrbracket^{g,w} = \llbracket p \rrbracket^{g,w} \wedge \forall q \in \llbracket p \rrbracket^C [\llbracket q \rrbracket^{g,w} \rightarrow \lambda w'. \llbracket p \rrbracket^{g,w'} \subseteq q]$$

$$\text{E.g., } O_{DA(a \vee b) = (a \vee b) \wedge \neg a \wedge \neg b} = \perp$$

$$\text{E.g., } O_{\sigma A}(a \vee b) = (a \vee b) \wedge \neg(a \wedge b)$$

(G-trivial)

(\rightsquigarrow not and/every)

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★ For *or/some* NP_{SG} , O_{DA} is actually O_{ExhDA} : the DA must be used in a *pre-exhaustified* form, obtained by exhaustifying each fully grown DA relative to other DA of the same size:

E.g., $O_{ExhDA(a \vee b) = (a \vee b) \wedge \neg \underbrace{O(a)}_{a \wedge \neg b} \wedge \neg \underbrace{O(b)}_{b \wedge \neg a}} = (a \vee b) \wedge (a \rightarrow b) \wedge (b \rightarrow a), = (a \wedge b)$

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E.g., $O_{\sigma A}(a \vee b) = (a \vee b) \wedge \neg(a \wedge b)$ (\rightsquigarrow not and/every)

★ For *or/some* NP_{SG} , O_{DA} is actually O_{ExhDA} : the DA must be used in a *pre-exhaustified* form, obtained by exhaustifying each fully grown DA relative to other DA of the same size:

E.g., $O_{ExhDA(a \vee b) = (a \vee b) \wedge \underbrace{\neg O(a)}_{a \wedge \neg b} \wedge \underbrace{\neg O(b)}_{b \wedge \neg a}}$, $= (a \vee b) \wedge (a \rightarrow b) \wedge (b \rightarrow a)$, $= (a \wedge b)$

★ For *or/some* NP_{SG} , both the ExhDA and the σA are used by default, e.g., via $O_{ExhDA+\sigma A}$.

E.g., $O_{ExhDA+\sigma A}(a \vee b) = \underbrace{(a \vee b) \wedge \neg O(a) \wedge \neg O(b)}_{(a \wedge b)} \wedge \neg(a \wedge b)$, $= \perp$

Outline

or/some NP_{SG}

Capturing ignorance

Capturing polarity sensitivity

CMNs/SMNs

Capturing ignorance

Capturing polarity sensitivity

Conclusion and outlook

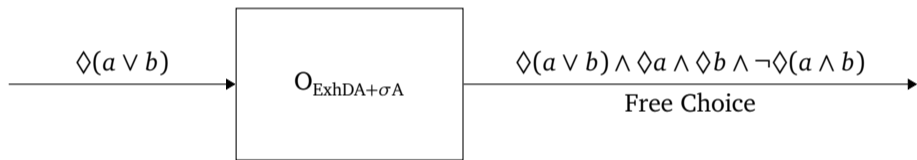
Jo called Alice or Bob / some student_{Alice, Bob}.

(first try)

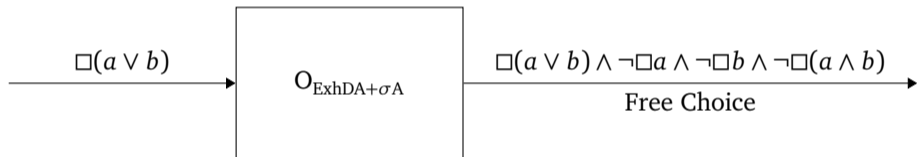


- ★ Why is this grammatical, and how does it give rise to ignorance?
- ★ Ignorance is a silent modal effect.
- ★ Let's look at some sentences with modals ...

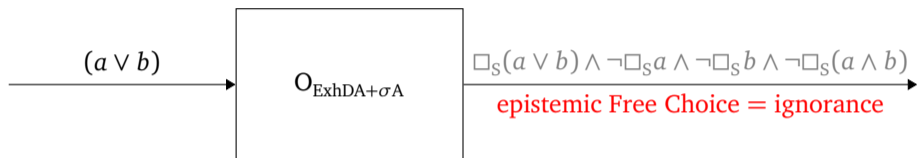
Jo may call Alice or Bob / some student_{Alice, Bob}.



Jo must call Alice or Bob / some student_{Alice, Bob}.

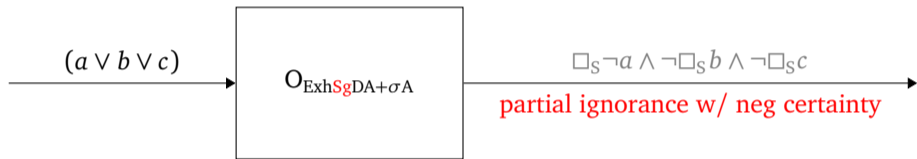


Jo called Alice or Bob / some student_{Alice, Bob}.



- ★ This captures (ignorance: ||)
- ★ But the result is *total* ignorance. How do we capture compatibility with *partial* ignorance?
- ★ Assumption: Partial variation effects come from pruning the DA-set down to a natural subset.
- ★ Let's study exhaustification relative to SgDA, NonSgDA.

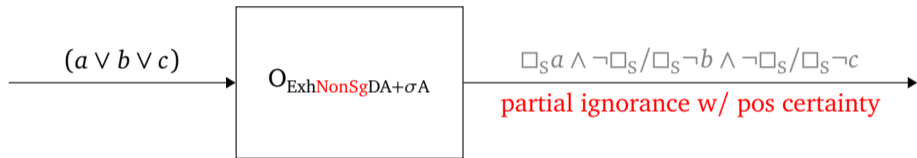
Jo called # Alice, Bob, or Cindy / ✓ some student_{Alice, Bob, or Cindy},
but not Alice.



- ★ Assumption: To accommodate context, *some* NP_{SG} can prune its DA-set down to just SgDA.
- ★ This captures (neg certainty: \nparallel).

Jo called Alice.

So, she called # Alice, Bob, or Cindy / ✓ some student_{Alice, Bob, Cindy}.



★ Assumption: To accommodate context, *some* NP_{SG} can prune its DA-set down to just NonSgDA.

★ This captures (pos certainty: #).

Note on scalar implicatures

- ★ Quite generally, the ExhDA-implicatures are also compatible with no ignorance.
- ★ However, as we saw, the σA -implicatures prevent that.
- ★ Yet:

(20) Jo called Alice or Bob / some student_{Alice,Bob}. *In fact, she called both / every student.*

- ★ Assumption: To accommodate context, *or/some* NP_{SG} can both prune their σA .

Outline

or/some NP_{SG}

Capturing ignorance

Capturing polarity sensitivity

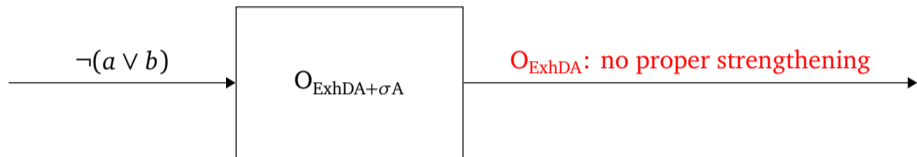
CMNs/SMNs

Capturing ignorance

Capturing polarity sensitivity

Conclusion and outlook

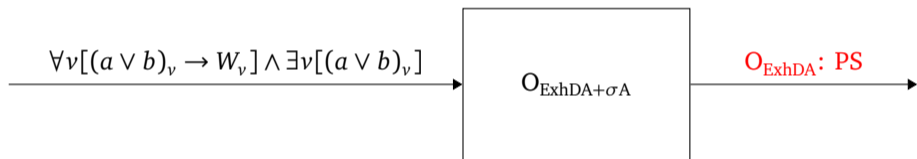
Jo didn't call ✓ Alice or Bob / # some student_{Alice, Bob}.



- ★ Assumption: *some* NP_{SG} doesn't tolerate a use of its ExhDA that doesn't lead to PS.
- ★ This captures (*not* > : \uparrow).

If Jo called Alice or Bob / some student_{Alice, Bob}, she won.
Everyone who called Alice or Bob / some student_{Alice, Bob} won.

- ★ Assumption: Exhaustification proceeds relative to presupposition-enriched content.



- ★ This captures (*if/every* > : ||).

Summary

- ★ Figure out an account for ignorance and polarity sensitivity in *or/some* NP_{SG} . ✓
- ★ Identify the shape of a general theory of ignorance and polarity sensitivity. ✓

Comparison to previous literature

Comparison to [Spector, 2014, Nicolae, 2017]’s solutions for French PPI disjunctions:

- ★ similarity in the general use of alternatives-and-exhaustification, but
- ★ differences in the formal assumptions and solution for ignorance and polarity sensitivity
 - consequences for *or/some* NP_{SG}

Comparison to [Chierchia, 2013]’s solution for variation among epistemic indefinites:

- ★ similarity in all the crucial pieces, but
- ★ differences in some of the details related to pre-exhaustification and pruning
- ★ revisions towards unification that wouldn’t affect the present analysis include:
 - the O used to generate ExhDA is actually O_{IE-DA}
 - pre-exhaustification of NonSgDA is actually relative to both NonSgDA and SgDA

Outline

or/some NP_{SG}

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Conclusion and outlook

Goals and plan

Goals:

- ★ Figure out an account for ignorance and polarity sensitivity in CMNs/SMNs.
- ★ Consider consequences for a general theory of bare and modified numerals.

Existing literature: Truth conditions

Contain reference only to a scalar element.

(21) n people quit.

a. $\exists x[|x| = n \wedge P(x) \wedge Q(x)]$ (assertion)

(22) More/less than n people quit.

a. $\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) > / < n$ (assertion)

(23) At most/least n people quit.

a. $\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \leq / \geq n$ (assertion)

Assumptions: Truth conditions

As before, contain reference to both a domain and a scalar element.

(24) n people quit.

a. $\exists x[|x| = n \wedge P(x) \wedge Q(x)]$ (assertion)

(adapting [Kennedy, 1997] to degrees)

(25) $\llbracket \text{much} \rrbracket = \lambda n . \lambda d . d \leq n$

e.g., $\llbracket \text{much} \rrbracket (3) = \lambda d . d \leq 3$

(26) $\llbracket \text{little} \rrbracket = \lambda n . \lambda d . d \geq n$

e.g., $\llbracket \text{little} \rrbracket (3) = \lambda d . d \geq 3$

(27) More/less than n people quit.

a. $\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in \overline{\llbracket \text{much/little} \rrbracket (n)}$ (assertion)

(28) At most/least n people quit.

a. $\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in \llbracket \text{much/little} \rrbracket (n)$ (assertion)

★ If the domains coincide, this captures (truth conditions: $\llbracket \rrbracket$).

Assumptions: Alternatives

As before, generated by replacing the domain with its subsets and the scalar element with its scalemates.

(29) n people quit.

- a. $\exists x[|x| = n \wedge P(x) \wedge Q(x)]$ (assertion)
- b. — (no DA)
- c. $\{\exists x[|x| = m \wedge P(x) \wedge Q(x)] \mid m \in S\}$ (σA)

(30) More/less than n people quit.

- a. $\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in \overline{\llbracket \text{much/little} \rrbracket (n)}$ (assertion)
- b. $\{\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in D' \mid D' \subset \overline{\llbracket \text{much/little} \rrbracket (n)}\}$ (DA)
- c. $\{\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in \overline{\llbracket \text{much/little} \rrbracket (m)} \mid m \in S\}$ (σA)

(31) At most/least n people quit.

- a. $\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in \llbracket \text{much/little} \rrbracket (n)$ (assertion)
- b. $\{\max(\lambda d . \exists x[|x| = d \wedge P(x) \wedge Q(x)]) \in D' \mid D' \subset \llbracket \text{much/little} \rrbracket (n)\}$ (DA)
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Assumptions: Exhaustification

As before, O negates the non-entailed pre-exhaustified subdomain alternatives and scalar alternatives.

Scalar implicatures – reasons to rehabilitate them

- ★ Conceptual generality: All our items entail one bound and implicate another.
- ★ Makes good empirical predictions in general, and in particular for (35) (indirect SI).

(32) Jo called 3 people / more than 3 / at least 3 people.

↗ \neg Jo called 4 / more than #4 ✓5 / at least #4 ✓5 people.

(33) Jo is required to call 3 / more than 3 / at least 3 people.

↗ \neg Jo is required to call 4 / more than ✓4 / at least 4 people.

(34) Jo didn't call 3 people / more than 3 / at least 3 people.

↗ \neg Jo didn't call # 2 ✓1 / more than # 2 ✓1 / at least # 2 ✓1 people.

(35) If Jo called 3 / more than 3 / at least 3 people, she won.

↗ \neg If Jo called ✓2 / more than ✓2 / at least ✓2, she won.

- ★ The bad predictions disappear once we dig deeper.

Outline

or/some NP_{SG}

Capturing ignorance

Capturing polarity sensitivity

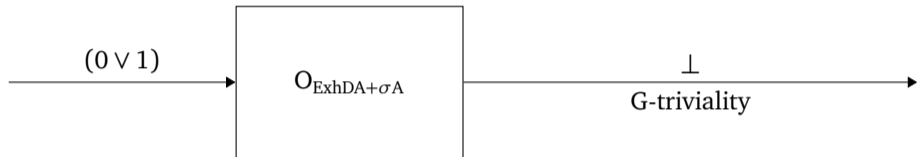
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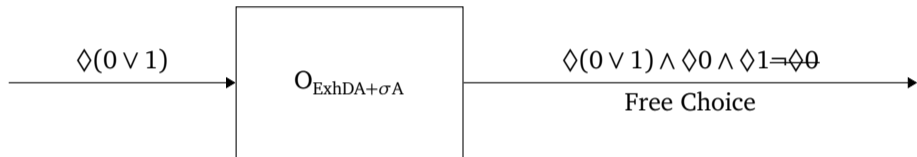
Conclusion and outlook

Jo called less than 2 / at most 1 people.



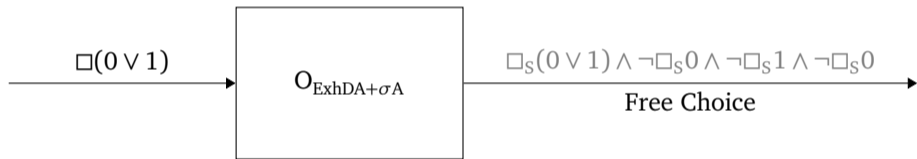
- ★ Why is this grammatical, and how does it give rise to ignorance?
- ★ Ignorance is a silent modal effect.
- ★ Let's look at some sentences with modals ...

Jo may call less than 2 / at most 1 people.



- ★ Assumption: CMNs/SMNs can prune their σA simply to avoid a clash with the ExhDA.
- ★ Justification: σA -implicatures play a different role for CMNs/SMNs than for *or/some* NP_{SG} .

Jo must call less than 2 / at most 1 people.

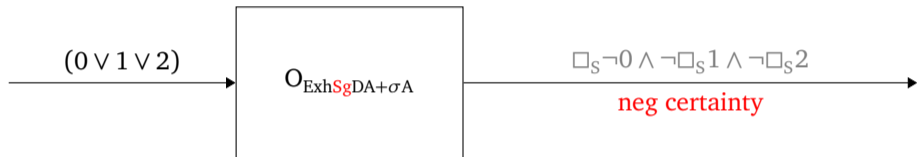


Jo called less than 2 / at most 1 people.



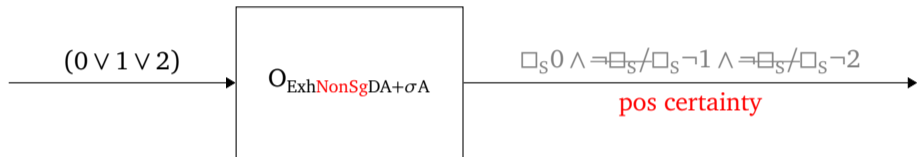
- ★ This captures (ignorance: ||).
- ★ But the result is *total* ignorance. How do we get compatibility with certainty?
- ★ As before ...

Jo called ✓less than 3 / # at most 2 people, but not 1.



- ★ Assumption: To accommodate context, CMNs can prune their DA-set to just SgDA.
- ★ This captures (neg certainty: ∇).

Jo called 2 people. Therefore, she called \surd less than 3 / # at most 2.



- ★ Assumption: To accommodate context, CMNs can prune their DA-set to just NonSgDA.
- ★ This captures (pos certainty: $\#$).

Ignorance and strong scalar implicatures

(36) Jo called less than 3 / at most 2 people.

↯ ‘exactly 2’

$O_{\text{ExhDA}}(\Box_S O_{\sigma A}(0 \vee 1 \vee 2))$

a. $\Box_S O_{\sigma A}(0 \vee 1 \vee 2) \wedge$

b. $\neg O \Box_S 0 \wedge \neg O \Box_S 1 \wedge \neg O \Box_S 2 \wedge \neg O \Box_S(0 \vee 1) \wedge \neg O \Box_S(1 \vee 2) \wedge \neg O \Box_S(0 \vee 2)$

$$\begin{aligned}
 &= \underbrace{\quad}_{\text{(a)}} \\
 &\quad \underbrace{\Box_S((0 \vee 1 \vee 2) \wedge \neg(0 \vee 1))}_{\text{(b)}} \\
 &= \Box_S 2 \wedge \underbrace{\neg \Box_S 0 \wedge \neg \Box_S 1 \wedge \neg \Box_S 2}_{\perp}
 \end{aligned}$$

- ★ Assumption: CMNs/SMNs can prune their σA simply to avoid a clash with the ExhDA.
- ★ Justification: σA -implicatures play a different role for CMNs/SMNs than for *or/some* NP_{SG} .
- ★ The above can \rightsquigarrow *not* 0.

Outline

or/some NP_{SG}

Capturing ignorance

Capturing polarity sensitivity

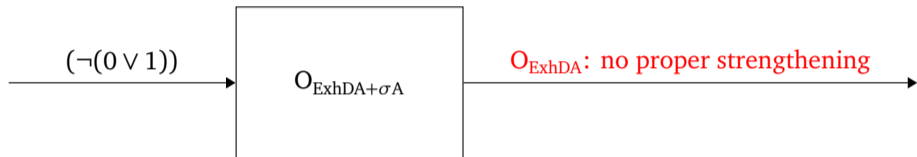
CMNs/SMNs

Capturing ignorance

Capturing polarity sensitivity

Conclusion and outlook

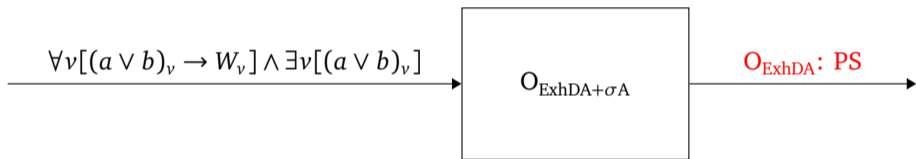
Jo didn't call \checkmark less than 2 / # at most 1 people.



- ★ Assumption: SMNs don't tolerate a use of their ExhDA that doesn't lead to PS.
- ★ This captures (*not* $> _ :$ $\#$).

If Jo called ✓Alice, Bob, or Cindy / ✓some student, she won.
Everyone who called ✓Alice, Bob, or Cindy / ✓some student won.

- ★ Assumption: Exhaustification proceeds relative to presupposition-enriched content.



- ★ This captures (*if/every* > : ||).

Negation and strong scalar implicatures

- ★ Assumption: The σA of, e.g., 3 under negation are $\{\dots, \neg 2, \neg 4, \dots\}$ but also $\{\dots, 2, 4, \dots\}$.
- ★ Negating all the non-entailed σA leads to \perp .
- ★ With last resort insertion of \Box_S , it leads to ignorance:

(37) Jo didn't call three / more than two / # at least three people.

$\not\rightarrow$ 'exactly 2'

$O_{\sigma A} \Box_S \neg(3 \vee 4 \vee \dots)$

a. $\Box_S \neg(3 \vee 4 \vee \dots) \wedge$

b. $\neg \Box_S \neg(2 \vee \dots) \wedge \neg \Box_S \neg(1 \vee \dots) \wedge \dots$

(traditional σA)

c. $\neg \Box_S(2 \vee \dots) \wedge \neg \Box_S(1 \vee \dots) \wedge \dots$

(new σA , obtained by deleting \neg)

'In all the worlds compatible with what the speaker believes the relevant number is not three or more but the speaker is not sure which one of the remaining numbers (0 or 1 or 2) it is.'

$\rightsquigarrow \Diamond_S 0 \wedge \Diamond_S 1 \wedge \Diamond_S 2$

Summary

- ★ Figure out an account for ignorance and polarity sensitivity in CMNs/SMNs. ✓
- ★ Consider consequences for a general theory of bare and modified numerals. ✓

Comparison to the existing alternatives(-and-exhaustification) solutions

★ conceptual advantages:

- more compositional truth conditions
- more general alternative generation mechanism
- more general implicature calculation
- more general approach to ignorance, polarity sensitivity, and scalar implicatures

★ empirical advantages:

- better captures ignorance/other modal/quantificational effects in CMNs vs. SMNs
- better captures polarity sensitivity in SMNs
- better captures scalar implicatures in CMNs and SMNs
- better captures general similarity to disjunction/indefinites

Outline

or/some NP_{SG}

Capturing ignorance

Capturing polarity sensitivity

CMNs/SMNs

Capturing ignorance

Capturing polarity sensitivity

Conclusion and outlook

Conclusion: Why are *or/some* NP_{SG} and CMNs/SMNs so similar?

Conclusion: Why are *or/some* NP_{SG} and CMNs/SMNs so similar?

D, σ

Conclusion: Why are *or/some* NP_{SG} and CMNs/SMNs so similar?

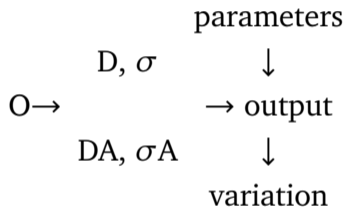
D, σ

DA, σA

Conclusion: Why are *or/some* NP_{SG} and CMNs/SMNs so similar?

$O \rightarrow \begin{matrix} D, \sigma \\ DA, \sigma A \end{matrix} \rightarrow \text{output}$

Conclusion: Why are *or/some* NP_{SG} and CMNs/SMNs so similar?



Outlook

- ★ Further patterns of immediate interest:

- embedding under other DE operators and/or combinations thereof
- sensitivity to other types of polarity

- ★ Predictions for the range of empirical variation:

- *or* with anti-negativity: French *soit . . . soit* or *ou* ✓
- *some NP_{SG}* incompatible with certainty and with no anti-negativity: *irgendein* ✓
- *or* compatible with partial ignorance: ??
- CMNs like SMNs, SMNs like CMNs: ??

- ★ Predictions for the nature of ungrammaticality:

- How do violations of no DA-pruning and proper strengthening compare to logical contradiction, cancelation of scalar implicatures, or logical redundancy?

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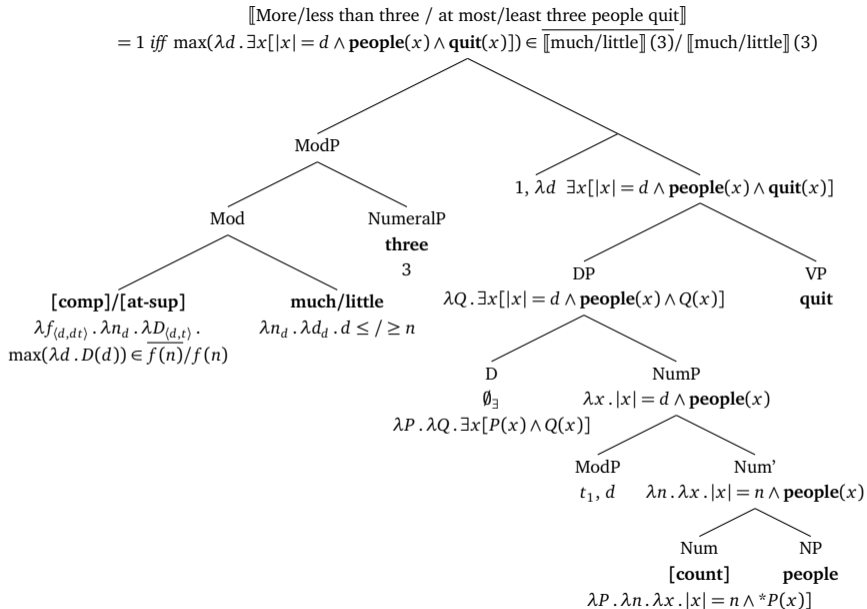


Figure: The syntax and semantics of CMNs and SMNs.

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